



MIDDLETON
REUTLINGER

2500
Brown & Williamson
Tower
Louisville, Kentucky
40202
502.584.1135
502.561.0442 fax
www.middreut.com



THE UNITED STATES PATENT AND TRADEMARK OFFICE
Washington, D.C., United States of America

RECEIVED
FEB 14 2002
TECHNOLOGY CENTER 2000

In re Application of MIER-LANGNER, A., et al.

Serial No.: 10/024,095

Filed: 12/18/2001

For: ADDRESSABLE SYSTEM FOR LIGHT
FIXTURE MODULES

Examiner: Unknown
Group Art Unit: 2875
Atty. Docket: ZK524-00035

INFORMATION DISCLOSURE STATEMENT UNDER 1.97(B)

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Dear Sir/Madam:

In accordance with 37 CFR §1.56, the references listed below are brought to the attention of the examiner for consideration in connection with the examination of the above-referenced patent application. A copy of the cited references is enclosed.

U.S. REFERENCES CITED

PATENT NO.	INVENTOR	DATE
US-6,192,282-B1	Smith, M.L., et al.	02-20-2001
US-6,174,073-B1	Regan, B., et al.	01-16-2001
US-6,163,275	Hartzell, C.J.	12-19-2000
US-6,130,412	Sizemore, C.T.	10-10-2000
US-6,127,925	Bonsignore, F., et al.	10-03-2000
US-6,120,262	McDonough, W.B., et al.	09-19-2000
US-5,936,362	Alt, L.G., et al.	08-10-1999
US-5,921,659	Hunt, M.A., et al.	07-13-1999
US-5,769,531	Hunt, M.A., et al.	06-23-1998
US-5,554,979	Kohar, H. et al.	09-10-1996
US-5,502,627	Hunt, M.A., et al.	03-26-1996
US-5,406,176	Sugden, W.H.	04-11-1995
US-5,382,947	Thaler, M., et al.	01-17-1995
US-5,347,431	Blackwell, R.A., et al.	09-13-1994
US-5,329,431	Taylor, B.W., et al.	07-12-1994
US-5,209,560	Taylor, B.W., et al.	05-11-1993
US-5,176,442	Richardson, B.E.	01-05-1993
US-5,038,261	Kloos, T.	08-06-1991
US-5,031,082	Bierend, G.D.	07-09-1991
US-4,797,795	Callahan, M.	01-10-1989
US-4,722,030	Bowden, S.C.	01-26-1988
US-4,684,822	Angott, P.G.	08-04-1987
US-4,598,345	Kleeman, J.	07-01-1986
US-4,392,187	Bornhorst, J.M.	07-05-1983
US-4,200,862	Campbell, D.C.	04-29-1980
US-5,072,216	Grange, R.	12-10-1991

PATENT NO.	INVENTOR	DATE
US-6,004,005	Demshki, Jr., R.J.	12-21-1999
US-5,988,838	Rudenberg, M.	11-23-1999
US-5,526,245	Davis, D.W., et al.	06-11-1996
US-5,506,715	Zhu, J.S.	04-09-1996
US-5,347,431	Blackwell, R.A., et al.	09-13-1994
US-5,192,126	Remeyer, S.C., et al.	03-09-1993
US-4,947,302	Callahan, M.	08-07-1990
US-4,779,168	Montgomery, J.	10-18-1988
US-4,712,167	Gordon, M.K., et al.	12-08-1987

FOREIGN REFERENCES CITED: N/A

Certification Under 37 CFR §1.97(b)

This information is submitted within three months after the filing date of the application and before the mailing date of the first office action. As such, no fee is due for its submission.

Respectfully submitted,



John F. Salazar, Reg. No. 39,353
MIDDLETON REUTLINGER
2500 Brown & Williamson Tower
Louisville, Kentucky 40202
(502) 584-1135

Date:

1/28/02

Encl:

- 1) Form PTO/SB/21
- 2) PTO/SB/08A (including a copy of the references)
- 3) Return postcard for evidencing receipt of above items

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PT INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/024,095
				Filing Date	12/18/2001
				First Named Inventor	Mier-Langner, Alejandro
				Group Art Unit	2875
				Examiner Name	
				Attorney Docket Number	ZK524-00035
Sheet	1	of	2		

Examiner Initials*	Cite No ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US-6,192,282-B1	02-20-2001	Smith, M.L., et al.	
		US-6,174,073-B1	01-16-2001	Regan, B., et al.	
		US-6,163,275	12-19-2000	Hartzell, C.J.	
		US-6,130,412	10-10-2000	Sizemore, C.T.	
		US-6,127,925	10-03-2000	Bonsignore, F., et al.	
		US-6,120,262	09-19-2000	McDonough, W.B., et al.	
		US-5,936,362	08-10-1999	Alt, L.G., et al.	
		US-5,921,659	07-13-1999	Hunt, M.A., et al.	
		US-5,769,531	06-23-1998	Hunt, M.A., et al.	
		US-5,554,979	09-10-1996	Kohar, H. et al.	
		US-5,502,627	03-26-1996	Hunt, M.A., et al.	
		US-5,406,176	04-11-1995	Sugden, W.H.	
		US-5,382,947	01-17-1995	Thaler, M., et al.	
		US-5,347,431	09-13-1994	Blackwell, R.A., et al.	
		US-5,329,431	07-12-1994	Taylor, B.W., et al.	
		US-5,209,560	05-11-1993	Taylor, B.W., et al.	
		US-5,176,442	01-05-1993	Richardson, B.E.	
		US-5,038,261	08-06-1991	Kloos, T.	
		US-5,031,082	07-09-1991	Bierend, G.D.	
		US-4,797,795	01-10-1989	Callahan, M.	

[illegible]

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See attached Kinds of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard St.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Complete if Known	
		Application Number	10/024,095
		Filing Date	12/18/2001
		First Named Inventor	Mier-Langner, Alejandro
		Group Art Unit	2875
		Examiner Name	
Sheet 2	of 2	Attorney Docket Number	ZK524-00035

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US-4,722,030	01-26-1988	Bowden, S.C.	
		US-4,684,822	08-4-1987	Angott, P.G.	
		US-4,598,345	07-01-1986	Kleeman, J.	
		US-4,392,187	07-05-1983	Bornhorst, J.M.	
		US-4,200,862	04-29-1980	Campbell, D.C.	
		US-5,072,216	12-10-1991	Grange, R.	
		US-6,004,005	12-21-1999	Demshki, Jr., R.J.	
		US-5,988,838	11-23-1999	Rudenberg, M.	
		US-5,526,245	06-11-1996	Davis, D.W., et al.	
		US-5,506,715	04-09-1996	Zhu, J.S.	
		US-5,347,431	09-13-1994	Blackwell, R.A., et al.	
		US-5,192,126	03-09-1993	Remeyer, S.C., et al.	
		US-4,947,302	08-07-1990	Callahan, M.	
		US-4,779,168	10-18-1988	Montgomery, J.	
		US-4,712,167	12/08/1987	Gordon, M.K., et al.	

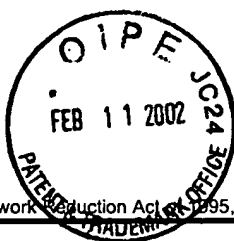
FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ Kind Code ⁵ (if known)				

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See attached Kinds of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



GP 2875 #2
5-15-2

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number

10/024,095

Filing Date

12/18/2001

First Named Inventor

Mier-Langner, Alejandro

Group Art Unit

2875

Examiner Name

Total Number of Pages in This Submission

6

Attorney Docket Number

ZK524-00035

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):

PTO/SB/36
Cover letter
Postcard

Remarks

Submission page number excludes the number of references included with the Information Disclosure Statement

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

John F. Salazar, Reg. No. 39,353

Signature

John F. Salazar

Date

1/28/02

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

1-28-2002

Typed or printed name

Pamela J. Hogan

Signature

P. J. Hogan

Date

1-28-2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.